



JAN: 2 2 2004

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
Sheet	1	of	1	Application Number	09/740,502
				Filing Date	December 19, 2000
				First Named Inventor	Barry Boone
				Art Unit	2152
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	3801P026

U.S. PATENT DOCUMENTS

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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. ⁶Applicant is to place a checkmark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solotoff, Taylor & Zafman (wtr) 08/11/2003

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO				Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/740,502
				Filing Date	December 19, 2000
				First Named Inventor	Barry Boone
				Art Unit	2152
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	3801P026
Sheet	1	of	1		

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Substitute for Form 1449A/PTO (Modified) <small>(use as many sheets as necessary) Page 1 of 8</small>		Attorney Docket No.: 3801P026	Application Number: 09/740,502
		First Named Inventor:	Barry Boone
		Filing Date:	December 19, 2000

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
1449		5,790,790		Smith et al.	08/04/1998	
		5,845,265		Woolston	12/01/1998	
		6,047,264		Fisher et al.	04/04/2000	
		6,061,448		Smith et al.	05/09/2000	
		6,085,176		Woolston	07/04/2000	
		6,119,137		Smith et al.	09/12/2000	
		US 6,178,408	B1	Copple et al.	01/23/2001	
		US 6,192,407	B1	Smith et al.	02/20/2001	
		US 6,202,051	B1	Woolston	03/13/2001	
1449		US 6,243,691	B1	Fisher et al.	06/05/2001	RECEIVED
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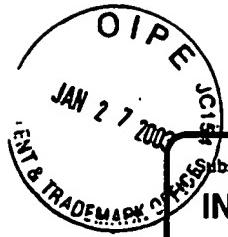
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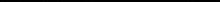
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Sheet 1 of 2

<p style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>				Complete If Known	
				Application Number	09/740,502
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				Art Unit	2152
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Sheet	1	of	2		

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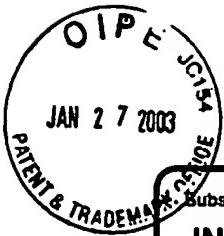
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

2

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<i><u>Complete If Known</u></i>	
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First Named Inventor	Barry Boone
Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket Number	3801P026

OTHER ART - NON PATENT LITERATURE DOCUMENTS

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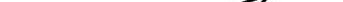
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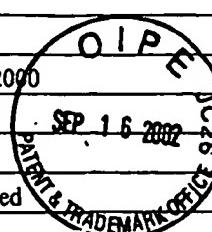
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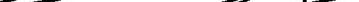
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				First Named Inventor:	Barry Boone
				Group Art Unit	2152
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	3801.P026
OTHER ART - NO PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
<i>ABF</i>		"BEYOND.COM ADDS CUSTOMER RATINGS TO WEB SITE; FIRST INTERNET STORE TO POST COMPREHENSIVE ONLINE BUYERS' GUIDE TO SOFTWARE" Business Wire. 16 November 1998.			
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		Ekstrom, Martin. A RATING SYSTEM FOR AEC e-BIDDING. PhD Dissertation. November 27, 2000 [downloaded from the internet 13.11.2001] from http://www.stanford.edu/~MEKSTROM/RESEARCH/PhDQualifyingDocument.pdf . Pages 13-17.			
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		Meade, Jim. Visual 360: a performance appraisal system that's fun. HR Magazine, V44, n7, p.118			
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		"REGRET: A reputation model for gregarious societies", Jordi Sabater IIIA-Artificial Intelligence Research Institute, Carles Sierra, IAAA-Artificial Intelligence Research Institute. Pages 1-9.			
<i>ABF</i>		Proceedings of the 32 nd Hawaii International Conference on System Sciences-1999, "Collaborative Reputation Mechanisms in Electronic Marketplaces", Giorgos Zacharia, Alexandros Moukas and Pattie Maes, MIT Media Laboratory. Pages 1-19.			



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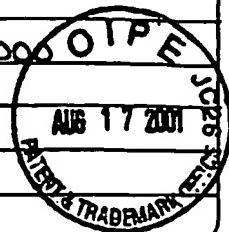
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				Application Number	09/740,502
				Filing Date	December 19, 2000 OTPE
				First Named Inventor	Barry Boone
				Group Art Unit	2152 AUG 17 2001
				Examiner Name	Not Yet Assigned
Sheet	1	of	7	Attorney Docket Number	003801.P026



U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number				
	3,581,072		Nymayer	5/25/1971	3/28/1968
	3,573,747		Adams, et al.	4/6/1971	2/24/1969
	4,412,287		Braddock, III	10/25/1983	9/15/1982
	4,674,044		Kalmus, et al.	4/16/1997	1/30/1985
	4,677,552		Sibley, Jr.	6/30/1987	10/5/1984
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	5,063,507		Lindsey, et al.	11/5/1991	9/14/1990
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Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			
	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
	PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996	
	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS

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	MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", Communications - of the ACM, June 1987, Pages 484-497, Vol. 30, No. 6, USA	
	WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". Harvard Business School Case/Study, July 1989, Pages 1-15, HBVR# 9-190-001, USA	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/740,502		
		Filing Date	December 19, 2000		
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Sheet	2	of	7	Attorney Docket Number	003801.P026



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Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number				
	5,136,501		Silverman, et al.	8/4/1992	5/26/1989
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	5,258,908		Hartheimer, et al.	11/2/1993	11/2/1990
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	5,329,589		Fraser, et al.	7/12/1994	6/3/1993
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	5,394,324		Clearwater	2/28/1995	12/8/1993

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	Office or Country	Number	Date			
	Netherland	9300266	2.10.1993			
	France	2658635-A1	2.6.1991			
	Canada	2,253,543	3/19/1997			Yes

OTHER DOCUMENTS

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	ROCKOFF, TE; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16	
	MASSIMB, MN; PHELPS, BD, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50, Charlottesville, Virginia	
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	HESS, CM; KEMERER, CF., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274	

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	5,794,219	Brown	8/11/1998	2/20/1996
	5,818,914	Fujisaki	10/6/1998	3/27/1995
	5,826,244	Huberman	10/20/1998	8/23/1995

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	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.	
	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technocal Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3	AUG 20 2001
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	CLEMINS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12	8/20/01

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Sheet	4	of	7	Attorney Docket Number	003801.P026

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(b) (4) C.I.T.

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<i>MS</i>	MARDESICH, J., "Onsale Takes Auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32, Mountain View, California.	
<i>JL</i>	"Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Page 73, CMP Publications, Inc., USA.	
<i>JL</i>	SIEGMANN, KEN, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42, Ziff-Davis Publishing Company	
<i>MS</i>	"Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, Pages 3	

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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/740,502
				Filing Date	December 19, 2000
				First Named Inventor	Barry Boone
				Group Art Unit	2152
				Examiner Name	Not Yet Assigned
Sheet	5	of	7	Attorney Docket Number	003801.P026

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<i>MM</i>	SCHMID, BF., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electricn Markets</u> , October 1993, No. 9/10	
<i>/</i>	TJOSTHEIM, I; EIDE, J., "A case study of an on-line auction for the World Wide Web", <u>Norwegian Computing Center</u> , No Date Given, Pages 1-10	
<i>/</i>	GRAHAM, I., "The Emergence of Linked Fish Markets in Europe", <u>Focus Theme</u> , No Date Given, Pages 1-3	
<i>MM</i>	RECK, Martin., "Trasing-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-7	

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<i>MH</i>	VAN HECK, E; RIBBERS, PM., "Experiences with Electronic Auctions in the Dutch Flower Industry", <u>Focus Theme</u> , No Date Given, Pages 1-6	/
<i>JL</i>	LEE, HG., "Aucnet: Electronic Intermediary for Used-car Transactions", <u>Focus Theme</u> , No Date Given, Pages 1-5	/
<i>JL</i>	KLEIN, S., "Introduction to Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-4	/
<i>MB</i>	TURBAN, E., "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme</u> , No Date Given, Pages 1-5	/

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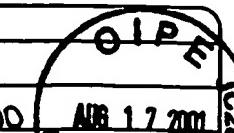
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Sheet	7	of	7	Attorney Docket Number	003801.P026


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<i>VASS</i>	ZWASS, VLADIMIR., "Electronic Commerce: Structures and Issues", <u>International Journal of Electric Commerce</u> , Fall 1996, Pages 3-23, Vol. 1, No. 1	

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		Filing Date	December 19, 2000		
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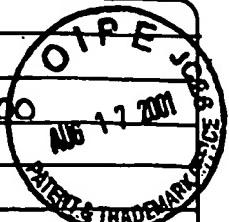
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<u>CMS</u>	CHRIS PREIST, MARTEN VAN TOL, "International Conference on Information and Computation Economies", <u>Adaptive Agents in a Persistent Shout Double Auction</u> , (c) 1999, Pages 2.	..

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Two thin, elongated, slightly curved black lines representing insect antennae.

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